

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

**Utility Name:** California American Water

**Date Mailed to Service List:** April 1, 2024

**District:** Central Division

**CPUC Utility #:** U210W

**Protest Deadline (20<sup>th</sup> Day):** April 21, 2024

**Advice Letter #:** 1440

**Review Deadline (30<sup>th</sup> Day):** May 1, 2024

**Tier**     1     2     3     Compliance

**Requested Effective Date:** April 1, 2024

**Authorization**

**Description:** 2023 WRAM/MCBA – Central Division

**Rate Impact:** \$See AL  
See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Jonathan Morse

**Utility Contact:** Nancy Hollingsworth

**Phone:** 916-568-4237

**Phone:** 916-568-4209

**Email:** Jonathan.Morse@amwater.com

**Email:** Nancy.Hollingsworth@amwater.com

**DWA Contact:** Tariff Unit

**Phone:** (415) 703-1133

**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

DATE

STAFF

COMMENTS

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\_\_\_\_\_

\_\_\_\_\_

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_

April 1, 2024

ADVICE LETTER NO. 1440

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Central Division which are attached hereto:

### **PURPOSE**

The purpose of this advice letter filing is to request recovery of the 2023 Water Revenue Adjustment Mechanism ("WRAM") and Modified Cost Balancing Account ("MCBA") balances. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in D.08-11-023, D.12-04-048, D.13-07-041, D.18-12-021, and D.21-11-018.

### **BACKGROUND**

D.09-07-021 originally approved the WRAM and MCBA for Monterey. Certain requirements from the original process approved in D.09-07-021 were modified in D.13-07-041, issued in California American Water's general rate case Application 10-07-007. Notably, the settlement adopted by D.13-07-041 agreed to certain procedures outlined D.12-04-048, which California American Water was not a party to.

*The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts.*

*This includes:*

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;...*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. California American Water will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American Water cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*
- 6. California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

In D.18-12-021, which resolved California American Water's general rate case Application 16-07-002, the Commission increased the cap on amortization of the

WRAM/MCBA balances from 10% to 15%. D.21-11-018, which resolved California American Water's General Rate Case Application 19-07-004, maintained the cap through the next GRC cycle:

We find the Settlement, as it relates to Cal-Am's WRAM/MCBA balances and the authorized cap established in D.18-12-021, is reasonable in light of the whole record of this proceeding, consistent with the law, and in the public interest, pursuant to the Commission's approval of the temporary 15% cap in Cal-Am's prior GRC proceeding. Cal-Am shall maintain the 15% cap related to its WRAM/MCBA balances as authorized in D.18-12-021 through this GRC cycle.<sup>1</sup>

### **REQUEST**

Pursuant to D.21-11-018, California American Water requests authorization to establish new Monterey Residential and Non-Residential WRAM/MCBA surcharges based on the 15% cap for existing under-collected balances. The remaining authorized unrecovered WRAM/MCBA balances for the Monterey Residential and Non-Residential classes and the Central Satellites are summarized below.

The 2023 balances for the WRAM and MCBA are summarized in the table below.

DESCRIPTION	Authorized WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Cumulative Surcharge Collections	Balance
<b>Monterey Main Residential</b>				
Authorized Prior Balances Remaining at 12/31/2023	(\$3,825,784)	(\$125,481)	\$3,124,425	(\$826,840)
Recorded Balance not yet Approved for YR 2023	(\$4,101,127)	(\$153,473)	\$0	(\$4,254,600)
UAW Penalty/(Reward)				\$383,163
Residential Balance 2023				(\$4,698,277)
<b>Monterey Main Non-Residential</b>				
Authorized Prior Balances Remaining at 12/31/2023	(\$7,562,591)	(\$304,747)	\$3,685,208	(\$4,182,130)

<sup>1</sup> D.21-11-018 at 147.

Recorded Balance not yet Approved for YR 2023	(\$898,904)	(\$52,503)	\$0	(\$951,407)
UAW Penalty/(Reward)				\$208,142
Non-Residential Balance 2023				(\$4,925,395)
<b>Monterey Main District</b>				
Balance 2023				(\$9,623,672)
2023 Revenue Requirement				\$76,549,795
2023 Balance % of Revenue				12.6%

DESCRIPTION	Authorized WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Cumulative Surcharge Collections	Balance
<b>Central Satellites</b>				
Authorized Prior Balance Remaining at 12/31/2023	(\$967,497)	(\$45,912)	\$207,194	(\$806,215)
Recorded Balance not yet Approved for YR 2023	(\$203,942)	(\$6,055)	\$0	(\$209,998)
UAW Penalty/(Reward)				\$18,878
Balance 2023				(\$997,334)
2023 Revenue Requirement				\$1,678,937
2023 Balance % of Revenue				59.4%

**EFFECTIVE DATE**

California American Water submits this as a Tier 1 Advice Letter per D.13-07-041 and requests an effective date of April 1, 2024.

**NOTICE AND SERVICE LIST:**

In accordance with General Order 96-B, General Rule 4.3 and 7.2 and Water Industry Rule 4.1, a copy of this advice letter will be transmitted electronically to interested parties having requested such notification. ***Please note that this advice letter will only be distributed electronically.***

**RESPONSE OR PROTEST**<sup>2</sup>

Anyone may respond to or protest this advice letter. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A **protest** objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>3</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3<sup>rd</sup> floor  
California Public Utilities Commission,  
505 Van Ness Avenue, San Francisco, CA 94102  
[water\\_division@cpuc.ca.gov](mailto:water_division@cpuc.ca.gov)

In the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

**Email Address:**

**Mailing Address:**

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<sup>2</sup> G.O. 96-B, General Rule 7.4.1

<sup>3</sup> G.O. 96-B, General Rule 7.4.2

[chase.grady@amwater.com](mailto:chase.grady@amwater.com)

520 Capital Mall, Suite 630  
Sacramento, CA 95814

[sarah.leeper@amwater.com](mailto:sarah.leeper@amwater.com)

555 Montgomery Street, Suite 816  
San Francisco, CA 94111

[ca.rates@amwater.com](mailto:ca.rates@amwater.com)

520 Capital Mall, Suite 630  
Sacramento, CA 95814

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

If you have not received a reply to your protest within 10 business days, please contact Chase Grady at (916) 568-4241.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Chase Grady*

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Chase Grady  
Associate Rates & Regulatory Analyst

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
XXXXX-W	Schedule No. CEN-SAT Central Satellite Tariff Area GENERAL METERED SERVICE Sheet 4	10866-W
XXXXX-W	Schedule No. MO-1C GENERAL METERED SERVICE in the Monterey Service Area Tariff Area NON-RESIDENTIAL CUSTOMERS Sheet 7	10797-W
XXXXX-W	Schedule No. MO-1-MF GENERAL METERED SERVICE in the Monterey Service Area Tariff Area MULTI-FAMILY RESIDENTIAL CUSTOMERS Sheet 4	10795-W
XXXXX-W	Schedule No. MO-1O GENERAL METERED SERVICE in the Monterey Service Area Tariff Area OTHER CUSTOMERS Sheet 5	10798-W
XXXXX-W	Schedule No. MO-1-SF GENERAL METERED SERVICE in the Monterey Service Area Tariff Area SINGLE FAMILY RESIDENTIAL CUSTOMERS Sheet 4	10793-W

Schedule No. CEN-SAT  
Central Satellite Tariff Area  
GENERAL METERED SERVICE

Sheet 4

**CENTRAL DIVISION TARIFF AREA (Continued)**

SPECIAL CONDITIONS

Fees and Surcharges (Continued):

**ALL CENTRAL SATELLITE SERVICE AREA (Continued)**

- 5. Per Advice Letter 1440, a surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2023, the net under-collection totals \$997,334 including interest. The surcharge of \$0.2888 per 100 gallons is set to recover the under-collection at a rate equal to 15% of the authorized revenue requirement as approved by D.21-11-018, will be collected over 36 months beginning April 1, 2024. The Chualar service area is excluded from this surcharge. (C)
- 6. Per Advice Letter 1379 and D.21-11-018 a surcharge of \$0.0312 per cgl will be collected from customers for the 2019 General Rate Case interim rate true-up. The surcharge will be collected until December 31, 2023. The Chualar service area is excluded from this surcharge. (C)
- 7. Per Advice Letter 1418, a surcharge is applied to each bill to offset increase in purchased power costs in the Central Division. This offset results in a needed increase of \$391,589. The surcharge of \$0.0135 per cgl is added to the quantity rate beginning August 27, 2023. The Chualar service area is excluded from this surcharge. (I)

**CHUALAR SERVICE AREA ONLY**

- 1. Per Advice Letter 1379 and D.21-11-018 a surcharge of \$0.0073 per cgl will be collected from customers for the 2019 General Rate Case interim rate true-up. The surcharge will be collected until December 31, 2023. (T)

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
Advice	1440	S. W. OWENS	Date Filed	_____
Decision		SR. DIRECTOR - Rates & Regulatory	Effective	_____
			Resolution	_____



Schedule No. MO-1C Sheet 7  
GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
NON-RESIDENTIAL CUSTOMERS

**CENTRAL DIVISION TARIFF AREA (Continued)**

SPECIAL CONDITIONS:  
Fees and Surcharges:

**Monterey Service Area**

1. All bills are subject to the reimbursement fee set forth in Schedule No.UF.
2. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of the gross revenues of each bill. The percentages are as follows:  
**Franchise Fees:** Ryan Ranch 1.00%, City of Pacific Grove 2.00%, City of Carmel-by-the-Sea 2.00%, City of Seaside 1.00%, City of Del Rey Oaks Franchise Fee 2.00%, City of Monterey 1.00%, and unincorporated areas of Monterey County 1.00%.  
**Business Fees:** City of Del Rey Oaks 0.11% and City of Sand City 0.12%.
3. A surcharge for the Customer Assistance Program (CAP) is applied to each California American Water customer bill. Please refer to tariff CA-FEES for charges and details.
4. Per Advice Letter 1152, a fee of 8.325%, imposed by the Monterey Peninsula Water Management District (MPWMD), will be assessed against the customer’s monthly base bill to fund MPWMD’s environmental mitigation, water supply, and conservation programs, as well as other activities. The fee will remain in effect until otherwise directed by the Commission. This fee is applicable to customers in the Monterey Main, Bishop, Hidden Hills and Ryan Ranch areas.
5. Per Advice Letter 1440, a surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2023, the net under collection totals \$4,925,395 including interest. The surcharge is shown in the table below is set to recover the under collection at a rate equal to 15% of the authorized revenue requirement as approved by D.21-11-018, will be collected over 15 months beginning April 1, 2024. (C)  
(C)  
(T)  
(T)

<b>Non-Residential</b>		
<b>Monterey Main, Hidden Hills, Ryan Ranch, &amp; Bishop Systems:</b>	Surcharge per 100 gal	Months
Division 1	\$0.3837	15
Division 2	\$0.4316	15
Division 3	\$0.4796	15
Division 4	\$0.9592	15

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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1440	S. W. OWENS	Date Filed _____
Decision	SR. DIRECTOR - Rates & Regulatory	Effective _____
		Resolution _____

Schedule No. MO-1-MF  
GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
MULTI-FAMILY RESIDENTIAL CUSTOMERS

**CENTRAL DIVISION TARIFF AREA (Continued)**

SPECIAL CONDITIONS:  
Fees and Surcharges:

**Monterey Service Area**

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of the gross revenues of each bill. The percentages are as follows:  
**Franchise Fees:** Ryan Ranch 1.00%, City of Pacific Grove 2.00%, City of Carmel-by-the-Sea 2.00%, City of Seaside 1.00%, City of Del Rey Oaks Franchise Fee 2.00%, City of Monterey 1.00%, and unincorporated areas of Monterey County 1.00%.  
**Business Fees:** City of Del Rey Oaks 0.11% and City of Sand City 0.12%.
3. A surcharge for the Customer Assistance Program (CAP) is applied to each California American Water customer bill. Please refer to tariff CA-FEES for charges and details.
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5. Per Advice Letter 1440, a surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2023, the net under collection totals \$4,698,277 including interest. The surcharge of \$0.2871 per 100 gallons is set to recover the under collection at a rate equal to 15% of the authorized revenue requirement as approved by D.21-11-018, will be collected over 12 months beginning April 1, 2024.

(C)  
(C)  
(I)  
(T)

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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1440	S. W. OWENS	Date Filed _____
Decision	SR. DIRECTOR - Rates & Regulatory	Effective _____
		Resolution _____

Schedule No. MO-10 Sheet 5  
GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
OTHER CUSTOMERS

**CENTRAL DIVISION TARIFF AREA**

SPECIAL CONDITIONS:

Fees and Surcharges:

**Monterey Service Area**

1. All bills are subject to the reimbursement fee set forth in Schedule No.UF.
2. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of the gross revenues of each bill. The percentages are as follows:  
**Franchise Fees:** Ryan Ranch 1.00%, City of Pacific Grove 2.00%, City of Carmel-by-the-Sea 2.00%, City of Seaside 1.00%, City of Del Rey Oaks Franchise Fee 2.00%, City of Monterey 1.00%, and unincorporated areas of Monterey County 1.00%.  
**Business Fees:** City of Del Rey Oaks 0.11% and City of Sand City 0.12%.
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5. Per Advice Letter 1440, a surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2023, the net under collection totals \$4,925,395 including interest. The surcharge is shown in the table below is set to recover the under collection at a rate equal to 15% of the authorized revenue requirement as approved by D.21-11-018, will be collected over 15 months beginning April 1, 2024. (C)  
(C)  
(T)

	Surcharge per 100 gal	Months
<b>OWU-Permanent</b>		
Block 1	\$0.2098	15
Block 2	\$0.4196	15
Block 3	\$1.6785	15
OWU-Temporary	\$0.2098	15

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(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1440  
Decision

S. W. OWENS  
SR. DIRECTOR - Rates & Regulatory

Date Filed \_\_\_\_\_  
Effective \_\_\_\_\_  
Resolution \_\_\_\_\_

Schedule No. MO-1-SF

GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
SINGLE FAMILY RESIDENTIAL CUSTOMERS

**CENTRAL DIVISION TARIFF AREA**

SPECIAL CONDITIONS (Continued):

Fees and Surcharges:

**Monterey Service Area**

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
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Decision	SR. DIRECTOR - Rates & Regulatory	Effective _____
		Resolution _____

**CENTRAL DIVISION SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**

**BY MAIL:**

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Salinas, CA 93901

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Monterey County DPW  
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Salinas, CA 93901-2680

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City Clerk  
City of Salinas  
200 Lincoln Avenue  
Salinas, CA 93901

Karen Crouch  
City Clerk,  
Carmel-By-The-Sea  
PO Box CC  
Carmel-by-the-Sea, CA 93921

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Pebble Beach, CA 93953-3011

Los Angeles Docket Office  
California Public Utilities Commission  
320 West 4th Street, Suite 500  
Los Angeles, CA 90013

Monterey Regional Water Pollution  
Control Agency (MRWPCA)  
5 Harris Court Road. Bldg D.  
Monterey, CA 93940

City of Pacific Grove  
c/o Community Development Department  
Attention: Sarah Hardgrave  
300 Forest Ave., 2nd floor  
Pacific Grove, CA 93950

City of Sand City  
City Hall  
California & Sylvan Avenues  
Sand City, CA 93955  
Attn: City Clerk

Darryl D. Kenyon  
Monterey Commercial Property Owners  
Association  
P.O. Box 1953  
Monterey, CA 93942  
Vibeke Norgaard  
City Attorney of Sand City  
P.O. Box 183  
Carmel, CA 93921

Monterey Peninsula Water Management  
District  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942

City of Pacific Grove  
City Attorney/City Hall  
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Pacific Grove, CA 93950

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City of Monterey  
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Irvin L. Grant  
Deputy County Counsel  
County of Monterey  
168 W. Alisal Street, 3rd floor  
Salinas, CA 93901-2680

Joe Lucido  
25417 Boots Road  
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**CENTRAL DIVISION SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**

**BY E-MAIL:**

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City of Del Rey Oaks, City Hall  
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Del Rey Oaks, CA 93940  
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[citymanager@delreyoaks.org](mailto:citymanager@delreyoaks.org)  
[kminami@delreyoaks.org](mailto:kminami@delreyoaks.org)

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City Attorney  
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Chief Financial Officer  
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General Manager  
Pebble Beach Community Services District  
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